PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ction of information unless it contains a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a colle Substitute for form 1449A/PTO INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet

ı		Complete II Known	,
	Application Number	NOT YET ASSIGNED	
ı	Filing Date		
	First Named Inventor	Dr. Gerald Eckstein	
1	Art Unit	NOT YET ASSIGNED	
	Examiner Name	NOT YET ASSIGNED	
1	Attorney Docket Number	2004P00837WOUS	

U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number		Name of Patentee or Applicant of	D 0-1 11 114 0-1	
Initials *	No.1	Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Cited Document	Pages, Columns, Lines, Where Relevent Passeges or Relevant Figures Aspear	
	. 1	US-2005/0148174 A1	07-07-2005	Unger et al.		
	2	US-2004/0233649 A1	11-25-2004	Honlein et al.		
	3	US-2003/0228467 A1	12-11-2003	Liebau et al.		
	4	US-2003/0048809 A1	03-13-2003	Mergenthaler		
	5	US-5,567,656	10-22-1996	Chun		
		US-				
		US-				
		US-				
		US-				

	FOREIGN PA	ATENT DOCU	MENTS		
Cite No.1	Foreign Patent Document		Publication Date MM-DD-YYYY Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines,	Т
	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)			Where Relevant Passages or Relevant Figures Appear	Τ*
=1	DE 102 20 194 A1	11-27-2003	Infineon Technologies AG		
=3	DE 102 17 362 A1	11-13-2003	Infineon Technologies AG		
=1	WO 03/094226 A2	11-13-2003	Infineon Technologies AG		
6	WO 03/037791 A1	05-08-2003	Siemens AG		×
=4	DE 101 44 704 A1	03-27-2003	Infineon Technologies AG		
=2	DE 101 27 351 A1	12-19-2002	Infineon Technologies AG		
7	EP 1 100 297 A2	05-16-2001	Electrovec		х
8	WO 00/73204 A1	12-07-2000	Commonwealth Scientific		
	No. <sup>1</sup> =1 =3 =1 6 =4 =2 7	Foreign Patent Document	Foreign Petent Document   Publication	County Code* - Number* - Kind Code* (if hower)   Publishing	Foreign Patent Document   Published   No.   Published   Publishe

Signature /Melanie Yu/ (01/31/2009)		Considered	
*EXAMINER: Init	ial if reference considered, whether or not citation is in conf	ormance with MPEP	609. Draw line through citation if not in conformance
and not consider	ed. Include copy of this form with next communication to ap	plicant, 1 Applicant's	unique citation designation number (optional). 2 See

and the Continenteer, Decompton of the second of the secon

hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450. Alexandria. VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

		Under the Paperw	ork Red	uction Act of 1995, no pers	ons are required to respond to a collect	ion of information unless it contains a valid OMB control nu	umber
	Substitute	for form 1449A/PT	0		Complete if Known		
	INIEO		DIG	CLOSURE	Application Number	NOT YET ASSIGNED	$\neg$
					Filing Date		
	STAT	EMENT B	Y.A	PPLICANT	First Named Inventor Dr. Gerald Eckstein		
					Application Number	$\neg$	
(use as many sheets as necessary)					Examiner Name	NOT YET ASSIGNED	$\neg$
て	Sheet	2	of	2	Attorney Docket Number	2004P00837WOUS	フ

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	9	Andreas Hirsch, "Funktionalisierung von einwandigen Kohlenstoffnanorahren", Angewandte Chemie, 2002, Vol. 114, No. 11, Wiley-VCH Verlag GmbH, 69451 Weinheim, Germany, pp 1933-1939	×
	10	Pulickel M. Ajayan and Otto Z. Zhou, "Applications of Cason Nanotubes", Carbon Nanotubes, Topics Appl. Phys. 80, pp 391-425 (2001), Springer Verlag Berin Heidelberg	
		·	

•	
	AMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance
	not considered. Include copy of this form with next communication to applicant.
	pplicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.
	s collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by
t	USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes.

/Melanie Yu/ (01/31/2009)

Date

Considered

1 Applicant's unique clation designation number (optional). 2 Applicant is to place a cheek mark here if English language Translation is situated.
This collection of information is required by 97 CFF1 81.6 The information is required to obtain or retail a benefit by the public which is to like fairly by 18 compared to the public which is to like fairly by 18 compared to 18 compa

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Examiner Signature